

AFFIX  
PASSPORT  
PHOTOGRAPH  
HERE

## WEB PORTAL/U-TRADE ACCESS REQUEST FORM

I/We hereby request to be enrolled on the Rostrum Client Web Channel/Online Access. I/We understand that my registration as a Web Channel/Portal subscriber is subject to my/our acceptance of the terms and conditions overleaf which is subject to change.

**THE DETAILS OF MY/OUR ACCOUNT:**

Surname..... Other Names.....  
 Address.....  
 Valid E-mail.....  
 Contact Number.....  
 CSCS Number..... CHN.....  
 Account Officer.....

**OPTIONS** (Tick as appropriate)

**Web Portal Only** (N2,500 Annual Charge)       **Web Portal and U-Trade** (N2,500 Annual Charge)

**CONFIRMATION**

I/We have read the Terms and Conditions governing the operations of this service(s) underneath this form and I/We accept the said terms and conditions.

Authorized Signatory..... Date .....

Authorized Signatory..... Date .....

.....  
 Company Seal/Stamp  
*(for corporate body only)*

**FOR OFFICIAL USE ONLY**

Approved By:..... Signature..... Date.....

Set-up/Activated By: ..... Signature..... Date.....

**TERMS AND CONDITIONS**

- Rostrum Investment and Securities Limited (hereinafter referred to as "RISL") offices are open for business between the hours of 8 a.m. and 5 p.m. on each day that is designated a business day in Nigeria.
- Mandates can be forwarded to RISL Securities Limited through our on-line stockbroking portal which can be accessed via [www.rostrumsec.com](http://www.rostrumsec.com)
- RISL will only execute transactions BUY or SELL on the floor of The Nigerian Stock Exchange where a mandate has been received through the channel mentioned in clause above or through our approved email [customercare@rostrumsec.com](mailto:customercare@rostrumsec.com)
- Mandates will be executed at the best market price at the time of execution on the floor of The Nigerian Stock Exchange.
- RISL will endeavor to execute all mandates. However, execution of mandates cannot be guaranteed as this largely depends on market conditions. It is therefore possible for a mandate not to be executed even if the instruction received was to carry out the transaction at market price.
- The log in details shall be sent to the valid email provided above.

**IT IS HEREBY AGREED AS FOLLOWS**

- I/we understand and accept that it is my/our responsibility to safeguard details of my/our Access Profile e.g. Login ID, Password, Multifactor Answers or Passcode. Access to my/our account(s) through any medium shall not be allowed. If RISL is given instructions by means of my/our Access Profile, it shall be entitled to assume that those instructions are given by me/us. RISL shall not be liable for complying with instructions given with my/our Access Profile(s) if such instruction(s) is/are sent by unauthorized user(s). RISL will therefore not be held liable for any fraudulent, duplicate or erroneous instruction given to it by means of my/our Access Profile(s).
- I/we shall change my/our Password(s) immediately it becomes known to a third party. I/we undertake to inform RISL if details of my/our Access Profile(s) is/are forgotten or lost. RISL is authorized to suspend or cancel my/our Access Profile(s) without receiving notice from me/us if it suspects that my/our Access Profile(s) or the Service is/are being used in an unauthorized or fraudulent manner.
- Access to and use of this portal is at the users or client's own risk. RISL does not represent or warrant that the use of this site or any material downloaded from it will not cause damage to property, including but not limited to loss of data or computer virus infection.
- RISL shall not be responsible for any loss, omission or damage that may arise due to IT or network downtime. The responsibility for the security of clients' password shall solely be that of the client at all times.
- RISL would not be responsible for theft or divulgence of password leading to any liability on the clients' account.
- RISL will make all reasonable attempts to ensure that the online portal system is fully operational at all times. However,
- RISL will use every reasonable endeavor to ensure the integrity and security of the server, however, RISL does not guarantee that the server will be free from unauthorized users or hackers. RISL shall not be liable for non-receipt or misrouting of email or for any other failure of client(s) email.
- I/we accept to pay **the applicable annual service charge (stated on the option i/we ticked above)** which is to be deducted directly from my/our stockbroking account.
- The client hereby indemnifies RISL against any loss, omission or damage that client(s) may incur when RISL executes any mandate, instructions, or other communications furnished by client through any means including electronic media or on its website and online portal. This indemnity subsists whether such electronic mandate are transmitted directly to a computer owned by client or otherwise, at any time, and in relation to any business or transactions, which client may have with RISL, or in which RISL may be acting on client's behalf or in accordance with client's instructions.
- Other terms and conditions stated on the account opening are also valid.

**DISCLAIMER**

Carefully consider your investment objectives, the risks, charges and expenses involved in Investing in the Nigerian stock market. It's important to note that the same way profits can be made in the market, losses can also be made as well. This therefore implies that a good knowledge of the market is required before actively investing in the stock market. Market volatility, trading volumes, system performance and other factors may delay system access and response times. Execution price, speed and liquidity are affected by many factors, including market volatility, size and type of order. RISL will not be responsible for the vagaries of the stock market and the consequent diminution in the value of the holdings of our clients.

**CONFIRMATION**

I/We hereby confirm that I/We have read and agree to the above terms and conditions.

Authorized Signatory..... Date .....

Authorized Signatory..... Date .....

.....  
Company Seal/Stamp  
(for corporate body only)